

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY. DOC. NO.

42 P 11579 C2

SERIAL NO.

11579 C2

APPLICANT:

GIBBS, Benjamin K. et al.

FILING DATE:

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GROUP:

Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
/HS/	AA	4,713,780	12/15/87	Schultz, et al.	364	514	04/15/85
	AB	4,934,846	06/19/90	Gilham	400	104	02/27/89
	AC	5,093,918	03/03/92	Heyen, et al.	395	725	12/22/88
	AD	5,377,354	12/27/94	Scannell, et al.	395	650	06/08/93
	AE	5,434,918	07/18/95	Kung, et al.	380	25	12/14/93
	AF	5,493,692	02/20/96	Theimer et al.	455	26.1	12/03/93
	AG	5,604,803	12/18/97	Aziz	380	25	06/03/94
✓	AH	5,606,668	02/25/97	Shwed	395	200.11	12/15/93

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
/HS/	AI	WO 97/49251	12/24/97	PCT	HO4N 11/00	A1	
/HS/	AJ	WO 98/51064	11/12/98	PCT	HO4M 3/42	A1	

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	AK	M. Wagner, Cyber Junk, Computerworld, Aug. 26, 1996, Vol. 30, No. 35, p. 28
/HS/	AL	B. Cole-Gomolski, Message Managers Aim to Control Junk E-mail, Computerworld, May 5, 1997, Vol. 31, No. 18
	AM	G. Faulkner, A New and Nasty Way to Flood Networks with Spam, Lantimes, < http://www.lantimes.com/97/97sep/709c040a.html >, Sept. 1997,
	AN	P. Collinson, Putting a Stop to Spam, Centaur Communications EXE, Nov. 3, 1997
	AO	Table of Contents, Choking on Spam, Nov. 1997, Vol. 17, No. 13, p. 105
	AP	Communications Software, Help Block Spam, Dec. 1997, Vol. 10, No. 12, p. 286
	AQ	PC/Computing, Spam Killers, Dec. 1997, Vol. 10, No. 12, p. 433
	AR	D. Blankenhorn, Datamation, You Can Win The War Against Spam!, < http://www.datamation.com/PlugIn/issues/1998/august/08web.html >, Aug. 1998
✓	AS	E. Gabber, et al., How to Make Personalized Web Browsing Simple, Secure, and Anonymous, Feb. 1997, p. 1-16.

EXAMINER:

Not Yet Assigned

/Hosuk Song/

DATE CONSIDERED:

04/16/2007

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609;
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**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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ATTY. DOCKET NO.

422157902

SERIAL NO.

09/538,105

APPLICANT:

GIBBS, Benjamin K. et al.

FILING DATE:

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GROUP:

Not Yet Assigned

/HS/	AX	5,542,046	07/30/96	Carlson et al.	395	186	06/02/95
	AY	5,732,137	03/24/98	Aziz	380	25	01/03/97
	AZ	5,740,361	04/14/98	Brown	395	187.01	06/03/96
	BA	5,751,813	05/12/98	Dorenbos	380	49	04/29/96
	BB	5,751,814	05/12/98	Kafri	380	49	06/25/96
	BC	5,764,772	06/09/98	Kaufman et al.	380	30	12/15/95
	BD	5,787,178	07/28/98	Schwenk	380	30	04/12/96
	BE	5,850,446	12/15/98	Berger et al.	380	24	06/17/96
	BF	5,852,665	12/22/98	Gressel et al	380	30	07/18/96
↓	BG	5,857,022	01/05/99	Sudia	380	23	02/19/97

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/HS/	BH	L. Blum, et al., A Simple Unpredictable Pseudo-Random Number Generator, Siam Journal On Computing, May 1986, Vol. 15, No. 2, pp. 364-383
	BI	Nist, The Digital Standard Signature Standard, Communications of the Law, July 1992, Vol. 35, No. 7, pp. 36-40
	BJ	C. Dwork, et al., Pricing Via Processing or Combatting Junk Mail, Proc. of Crypto, Aug. 1992, pp. 39-145
	BK	Y. Matias, et al., Lightweight Security Primitives for E-Commerce, in or after June 1997, p. 1-8.
	BL	D. Bleichenbacher, et al. On Secure and Pseudonymous Client-Relationships with Multiple Servers, May 1998, p. 1-14.
↓	BM	W. Diffie, The First Ten Years of Public-Key Cryptography, Proceedings of the IEEE, Vol. 76, No. 5, May 1988, p. 560-577.

EXAMINER:

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**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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ATTY. DOC. NO.

428157902

SERIAL NO.

109

APPLICANT:

GIBBS, Benjamin K. et al.

FILING DATE:

09/16/2007

GROUP:

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
/HS/	AA	4,200,770	04/29/80	Hellman et al.	178	22	09/06/77
	AB	4,218,582	08/19/80	Hellman, et al.	178	22	10/06/77
	AC	4,281,215	07/28/81	Atalla	178	22.08	05/03/78
	AD	4,309,569	01/05/82	Merkle	178	22.08	09/05/79
	AE	4,405,829	09/20/83	Rivest et al.	178	22.1	12/14/77
	AF	4,881,264	11/14/89	Merkle	380	25	07/30/87
	AG	4,977,595	12/11/90	Ohta et al.	380	24	03/28/90
	AH	5,003,597	03/26/91	Merkle	380	37	12/21/89
	AI	5,142,577	08/25/92	Pastor	380	21	12/17/90
	AJ	5,204,961	04/20/93	Barlow	395	725	06/25/90
	AK	5,224,164	06/29/93	Elsner	380	44	05/20/91
	AL	5,231,668	07/27/93	Kravitz	380	28	07/26/91
	AM	5,307,412	04/26/94	Vobach	380	42	09/30/92
	AN	5,319,710	06/07/94	Atalla et al.	380	23	08/22/86
	AO	5,349,649	09/20/94	Iijima	395	275	03/30/92
	AP	5,351,293	09/27/94	Michener et al.	380	21	02/01/93
	AQ	5,367,573	11/22/94	Quimby	380	25	07/02/93
	AR	5,371,796	12/06/94	Avarne	380	23	06/01/93
	AS	5,432,852	07/11/95	Leighton et al.	380	30	09/29/93
	AT	5,473,691	12/05/95	Menezes et al.	380	25	11/05/93
	AU	5,479,514	12/26/95	Klonowski	380	47	02/23/94
	AV	5,537,475	07/16/96	Micali	380	30	02/01/94
✓	AW	5,539,826	07/23/96	Dwork et al.	380	25	12/29/93

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**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

ATTY. DOCK NO.

429157462

SERIAL NO.

00000000

APPLICANT:

GIBBS, Benjamin K. et al.

FILING DATE:

Cont. Herein

GROUP:

Not Yet Assigned

/HS/	AT	L.C. Cranor, et al., Spam!, Communications of the ACM, Vol. 41, No. 8, August 1998, p. 74-83.
	AU	The Lucent Personalized Web Assistant, A Bell Labs Technology Demonstration, <http://www.bell-labs.com/projects/lpwa/>, on or after June 1997, p. 1-2.
	AV	News & Info, News Release, Lucent Technologies Introduces Technology That Protects Net Surfers' Privacy, <http://www.lucent.com/press/0697/9706.bla.html>, June 10, 1997, p. 1-2.
	AW	M. Stutz, WIREDNEWS, Covering Your Tracks via a Helping Hand, <http://www.wired.com/news/technology/story/4375.html>, June 10, 1997, p. 1-3.
	AX	Lucent Introduces Personal Protection Technology, News Watch, <http://www.zdnet.com/icom/news/199706/12/news2.html>, June 12, 1997, p. 1-2.
	AY	a2i(rahul.net) anti-junk-email-features, <http://www.rahul.net/guest/nojunk.html>, 1998, p. 1-2.
↓	AZ	a2i-nojunk -- anti-junk-email system, <http://www.rahul.net/guest/a2i-nojunk.1.txt>, date unknown, p. 1-16.

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DATE CONSIDERED:

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT. (Use several sheets if necessary)	ATTY. DOC. NO. 42P11579C32	SERIAL NO. .
	APPLICANT: GIBBS, Benjamin K. et al.	
	FILING DATE: Cons. Herewith	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
/HS/	AA	5,619,648	04/08/97	Canale, et al.	395	200.01	11/30/94
/HS/	AB	5,826,022	10/20/98	Nielsen	395	200.36	04/05/96

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
/HS/	AC	WO 97/46962	12/11/97	PCT	G06F 17/60	A1	
/HS/	AD	WO 98/00787	01/08/98	PCT	G06F 13/00	A1	
/HS/	AE	WO 98/37680	08/27/98	PCT	H04M	A2	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
/HS/	AF	A. Arensbarger, et al., To Take Arms Against a Sea of Email, Communication of the ACM, March 1995, Vol. 38, No. 3, pp. 108-109
/HS/	AG	T. Bass, et al., A Simple Framework for Filtering Queued SMTP Mail (Cyberwar Countermeasures), MILCOM 97 Proceedings, 11/5/97, Vol. 3, pp. 1140-1144

EXAMINER: Not Yet Assigned /Hosuk Song/	DATE CONSIDERED: 04/16/2007
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DCK NO.

428157962

SERIAL NO.

APPLICANT:

GIBBS, Benjamin K. et al.

FILING DATE:

Cont. Herewith

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Not Yet Assigned

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
/HS/	AA	WO 98/18249	04/30/98	PCT	H04L 29/06	A1		
/HS/	AB	WO 98/51116	11/12/98	PCT	H04Q 11/04	A2		
/HS/	AC	WO 99/04344	01/28/99	PCT	G06F 13/00	A1		

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/HS/	AD	R. J. Hall, How to Avoid Unwanted Email, Communications of the ACM, March 1998, Vol. 41, No. 3, pp. 88-95
/HS/	AE	E. Gabber, et al., Curbing Junk E-Mail via Secure Classification, in or after May 1998, p. 1-12.
/HS/	AF	R.J. Hall, Channels: Avoiding Unwanted Electronic Mail, American Mathematical Society, 1997, p. 1-17.

EXAMINER:
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/Hosuk Song/

DATE CONSIDERED:

04/16/2007

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 42P1157902	SERIAL NO. 39/336,202
	APPLICANT: GIBBS, Benjamin K. et al.	
	FILING DATE: 09/28/99	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
/HS/	AA	5,592,553	01/07/97	Guski, et al.	380	23	02/08/96
/HS/	AB	5,638,446	06/10/97	Rubin	380	25	08/28/95
/HS/	AC	5,958,051	09/28/99	Renaud, et al.	713	200	01/09/97

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AD						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	AE

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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		ATTY. DOC. NO. 428157932	SERIAL NO. ...
		APPLICANT: GIBBS, Benjamin K. et al.	
		FILING DATE: Conc. Herewith	GROUP: Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
/HS/	AA	5,440,634	08/08/95	Jones et al.	380	24	08/16/93
	AB	5,542,046	07/30/96	Carlson et al.	395	186	06/02/95
	AC	5,634,012	05/27/97	Stefik, et al.	395	239	11/23/94
	AD	5,764,762	06/09/98	Kazmierczak et al.	380	4	10/28/96
↓	AE	5,825,883	10/20/98	Archibald et al.	380	25	10/31/95
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/HS/	AF	P.G. Neumann, Risks in Digital Commerce, Communications of the ACM, Jan. 1996, Vol. 39, No. 1, p. 154					
/HS/	AG	N. Asokan, et al., The State of the Art in Electronic Payment Systems, Computer, The Future of Micro Processors, Sept. 1997, pp. 28-35					

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Substitute for Form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	
				Filing Date	
				First Named Inventor:	
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	42P11579C2

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
/HS/ ↓		US-	6,240,091	5/2001	Ginzboorg et al.	
		US-	6,125,349	9/2000	Maher	
		US-	4,996,711	2/1991	Chaum	
		US-	6,112,305	8/2000	Dancs et al.	
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